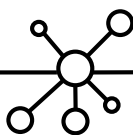


Efficient Robustness Certificates for Discrete Data

Sparsity-Aware Randomized Smoothing for
Graphs, Images and More

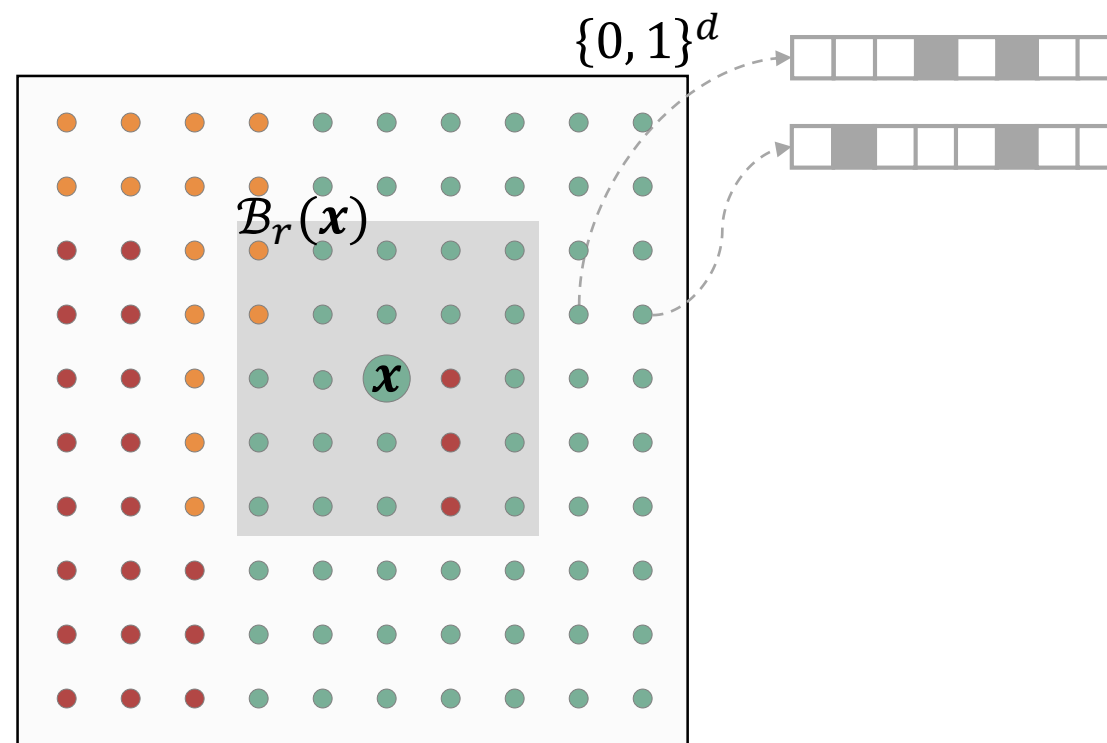
Aleksandar Bojchevski, Johannes Klicpera, Stephan Günnemann

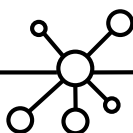


tl;dr Robustness Certificate

Guarantee that the prediction does **not** change for **all** \tilde{x} in a ball $\mathcal{B}_r(x)$ around the input x

Here $\mathcal{B}_r(x)$ is the L_0 ball: the attacker can change up to r bits





tl;dr Robustness Certificate

Graph Neural Network

Node-level Classification

Graph-level Classification

Given any **base classifier** for **discrete data**

ResNet

Transformer

DNN

...

Discretized Images

Text

Molecules (SMILES)

...

Certify a **smoothed** classifier w.r.t. an L_0 adversary

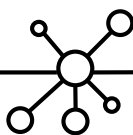


tl;dr Tight, Efficient, & Sparsity-Aware

Sparsity-aware smoothing improves guarantees

Reduced complexity: $O(d^3)$ to $O(r)$

Results on Graphs, MNIST, ImageNet, ...

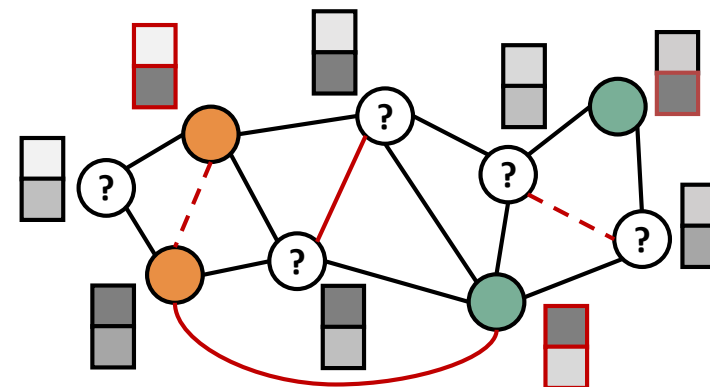


tl;dr Certifying Graph Neural Networks

Any GNN: GCN, GAT, PPNP, GIN, ...

Perturbing both graph and node attributes

First certificate for graph-level classification

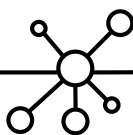


Perturbations:

— inserted edge

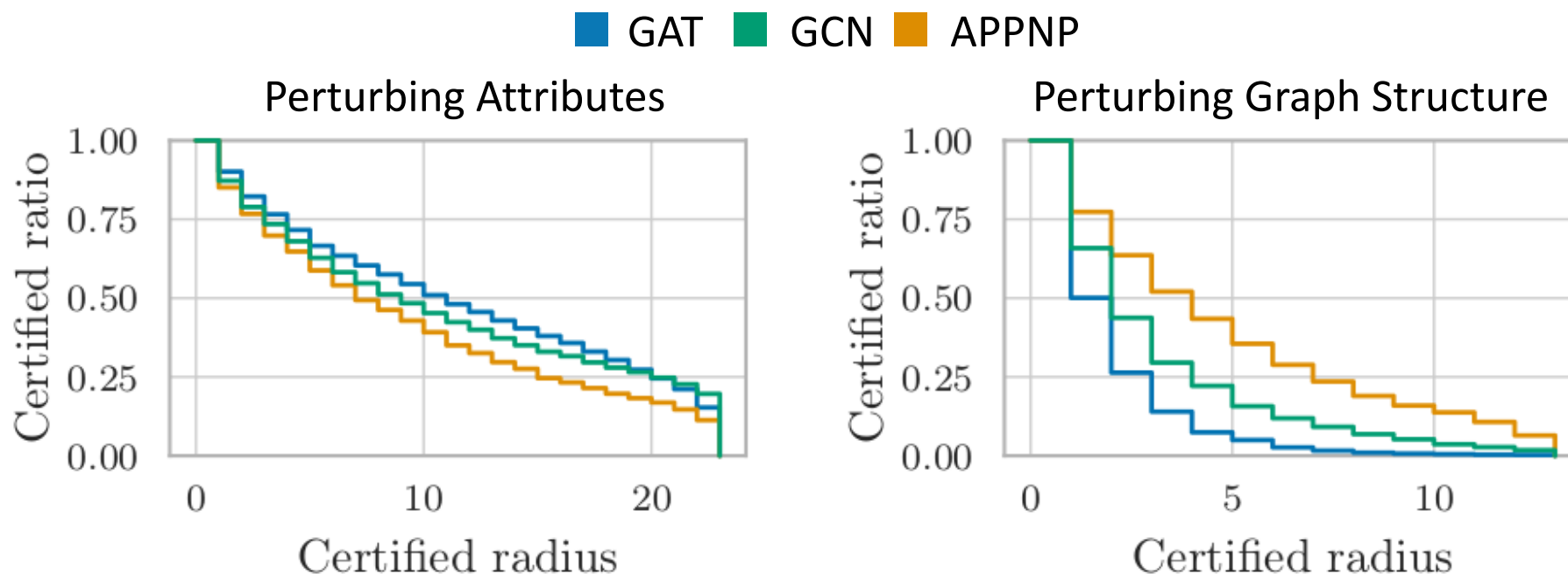
- - - deleted edge

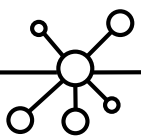
 perturbed attribute



tl;dr Certifying Graph Neural Networks

Different GNNs have different robustness trade-offs





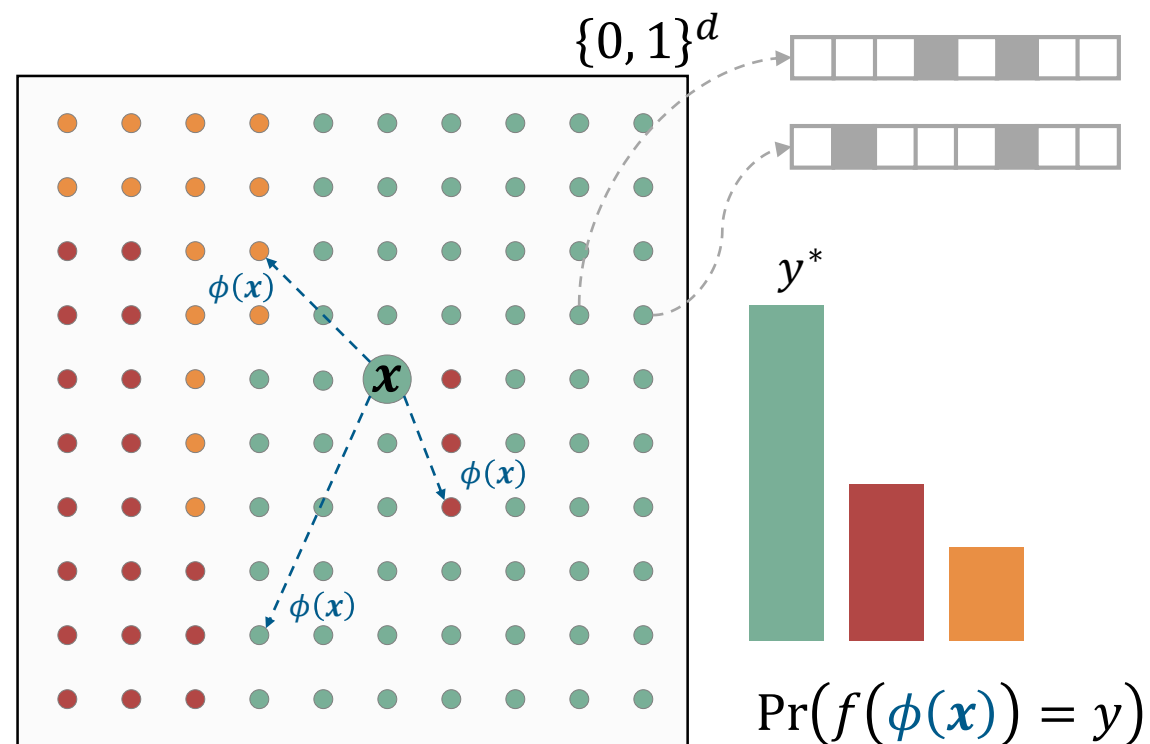
Randomly Smoothed Classifiers

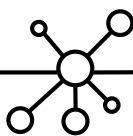
Given:

- Any base classifier $f: \mathcal{X} \rightarrow \mathcal{Y}$
- Any randomization scheme $\phi(\mathbf{x})$

Certify a **smoothed** classifier g

$$g(\mathbf{x}) = \underbrace{\operatorname{argmax}_{y \in \mathcal{Y}} \Pr(f(\phi(\mathbf{x})) = y)}_{\text{majority vote } y^*}$$

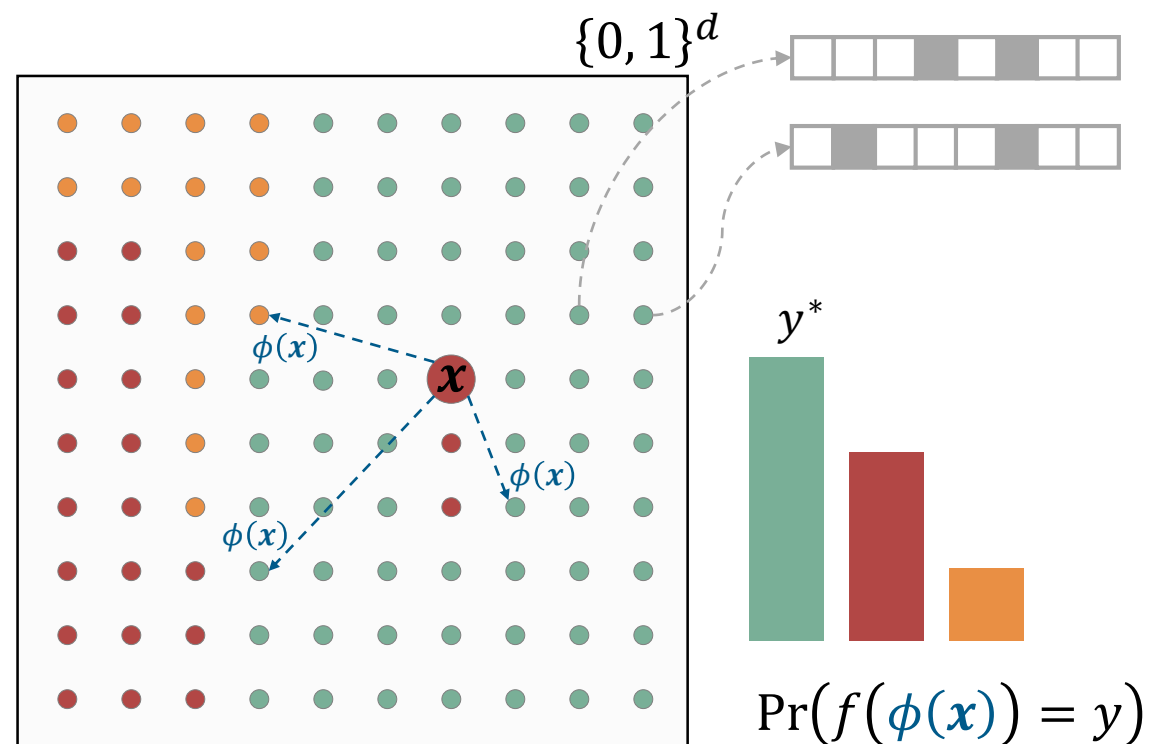


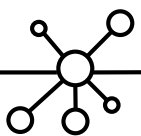


Certifying the Smoothed Classifiers

Majority vote $g(\mathbf{x})$ changes slowly

Example: $f(\mathbf{x}) = \bullet$, but $g(\mathbf{x}) = \bullet$



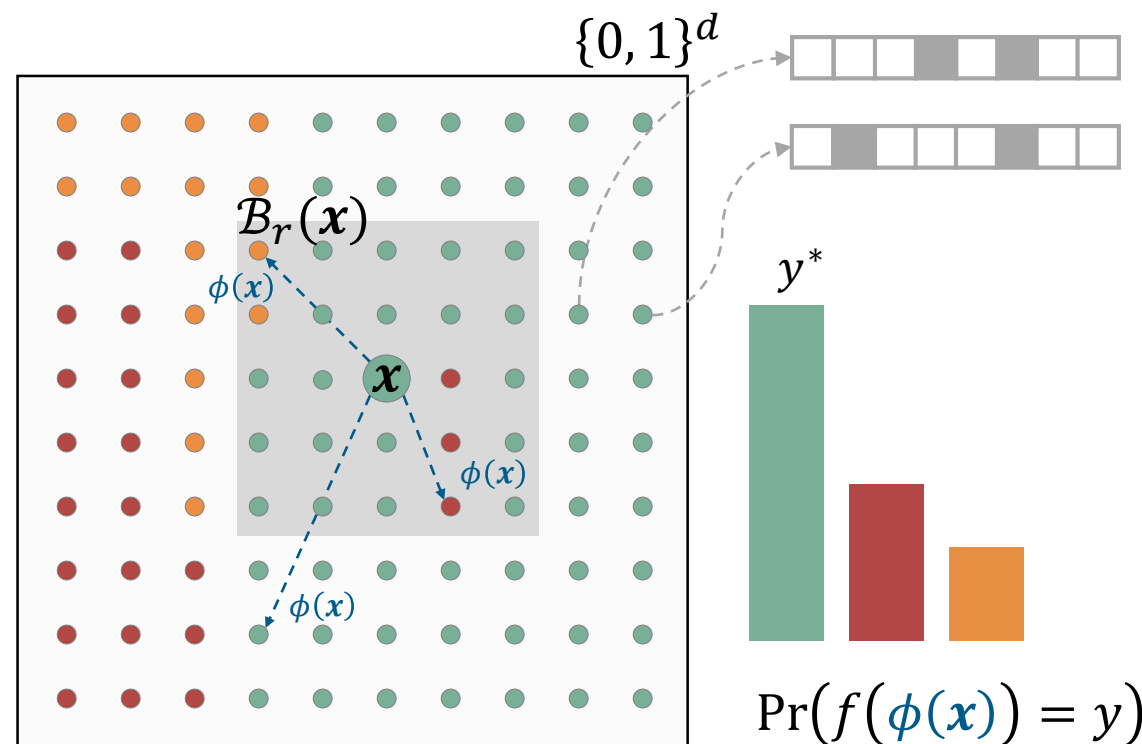


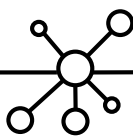
Randomly Smoothed Classifiers

Goal:

Guarantee that the majority votes does **not** change for **all** \tilde{x} in a ball $B_r(x)$ around the input x

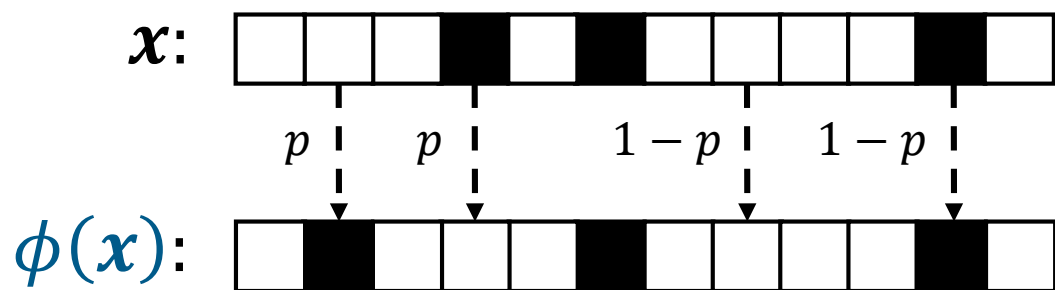
For all \tilde{x} , $\Pr(f(\phi(\tilde{x})) = \bullet) \stackrel{?}{>} 0.5$





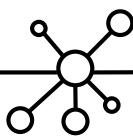
Choosing the Randomization Scheme $\phi(x)$

First idea: Randomly flip bits with probability p



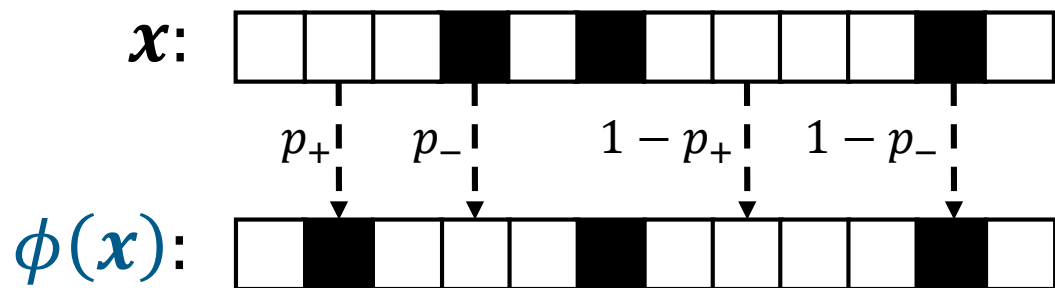
Higher p leads to better guarantees

Problem: For sparse data even moderately small p destroys the data



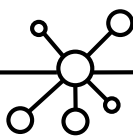
Choosing the Randomization Scheme $\phi(x)$

Sparsity aware: Treat zeros separately



Graphs: Insert edges with p_+ , delete edges with p_-

We can afford to set p_- relatively high and p_+ relatively low without introducing too much noise in the data



Deriving the Certificate

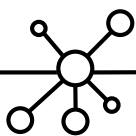
The smoothed classifier is certifiably robust if

$$\min \Pr(f(\phi(\tilde{\mathbf{x}})) = y^*) \stackrel{?}{>} 0.5$$

subject to:

$$\tilde{\mathbf{x}} \in \mathcal{B}_r(\mathbf{x})$$

Find the $\tilde{\mathbf{x}}$ that minimizes the probability of the majority vote y^*



Constant Likelihood Ratio Regions

The smoothed classifier is certifiably robust if

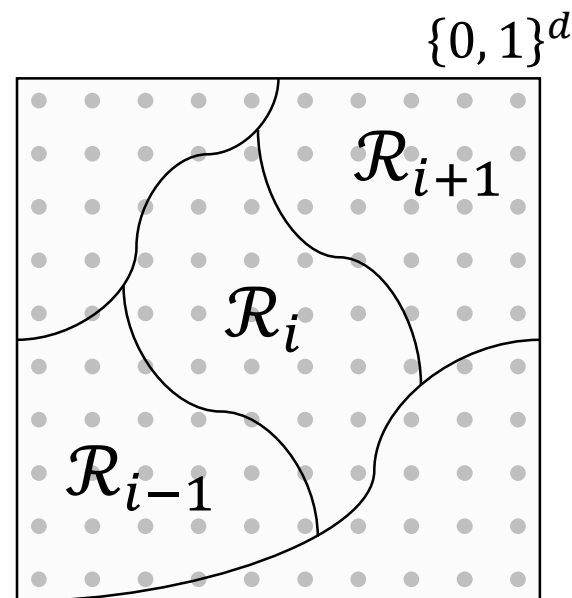
$$\min \sum_i \Pr(\phi(\tilde{\mathbf{x}}) \in \mathcal{R}_i) h_i \stackrel{?}{>} 0.5$$

subject to:

$$\tilde{\mathbf{x}} \in \mathcal{B}_r(\mathbf{x})$$

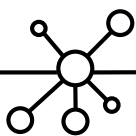
$$h_i \in [0, 1]$$

$$\sum_i \Pr(\phi(\mathbf{x}) \in \mathcal{R}_i) h_i = \underline{p_{y^*}}$$



$$\frac{\Pr(\phi(\mathbf{x}) \in \mathcal{R}_i)}{\Pr(\phi(\tilde{\mathbf{x}}) \in \mathcal{R}_i)} = c_i$$

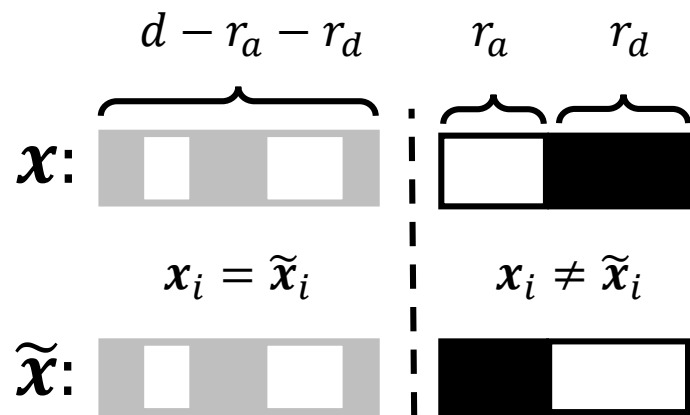
\uparrow
constant



Constant Likelihood Ratio Regions

Observation 1: We consider w.l.o.g. only dimensions where $\mathbf{x}_i \neq \tilde{\mathbf{x}}_i$

Observation 2: Number of regions is independent of d



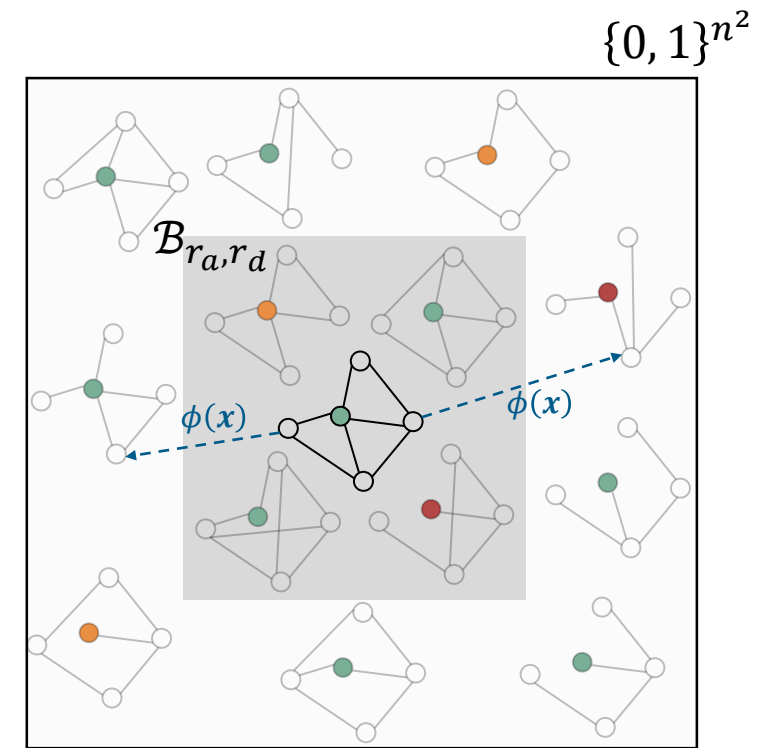
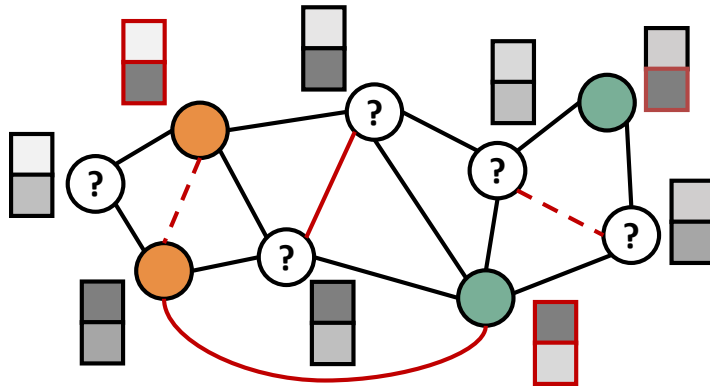
$$\Pr(\phi(\mathbf{x}_i) = z_i) = \Pr(\phi(\tilde{\mathbf{x}}_i) = z_i) \\ \text{where } \mathbf{x}_i = \tilde{\mathbf{x}}_i$$

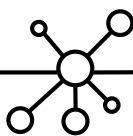
Threat model: $\mathcal{B}_{r_a, r_d} = \{\tilde{\mathbf{x}} : \text{added} \leq r_a \text{ bits, deleted} \leq r_d \text{ bits}\}$

GNNs: Setup

Threat model: Perturb either graph structure or attributes

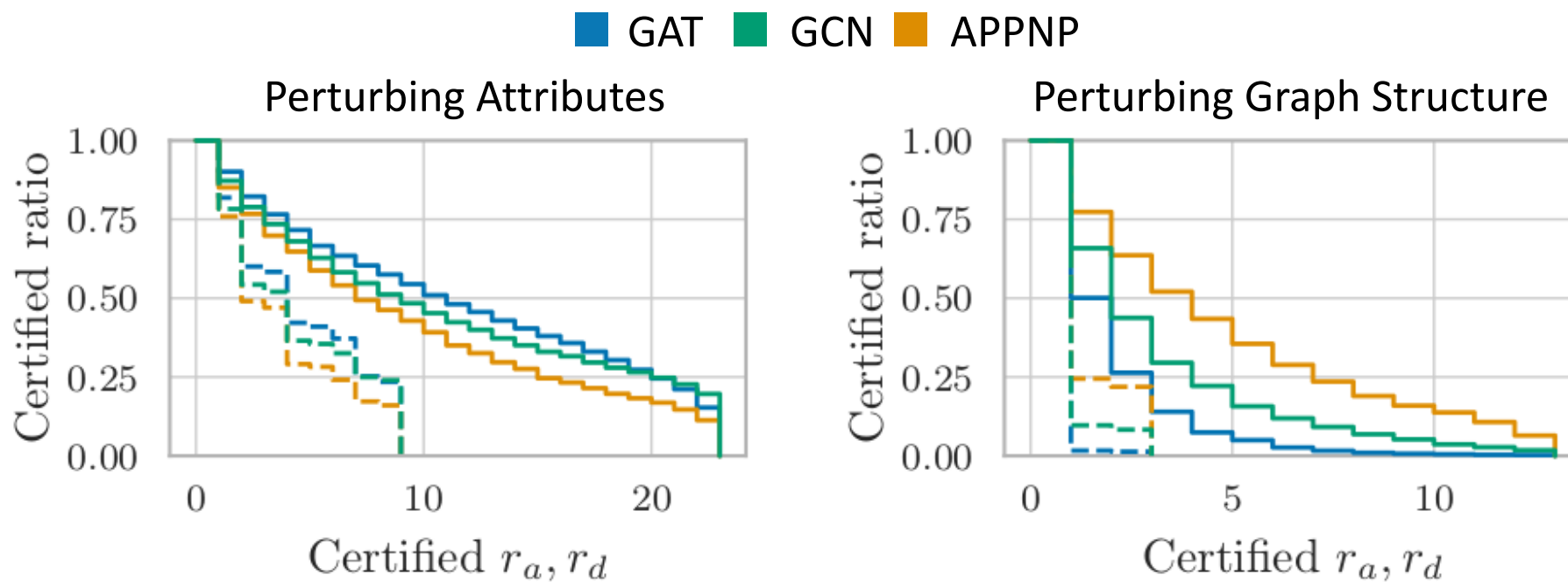
Task: Semi-supervised node classification

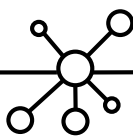




Results on Node Classification

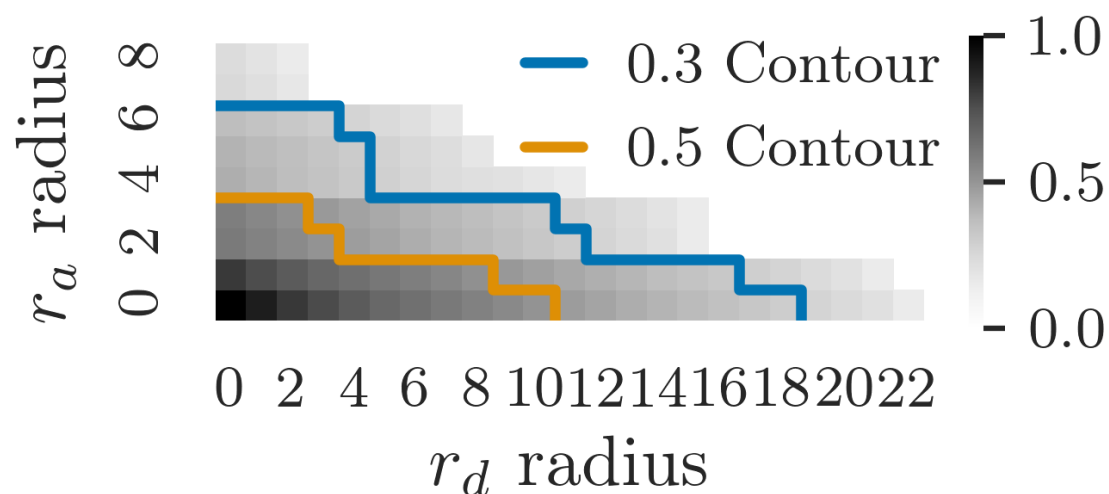
GNNs are more robust to edge deletion than edge addition



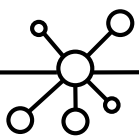


Results on Node Classification

Models are more robust to edge deletion than edge addition

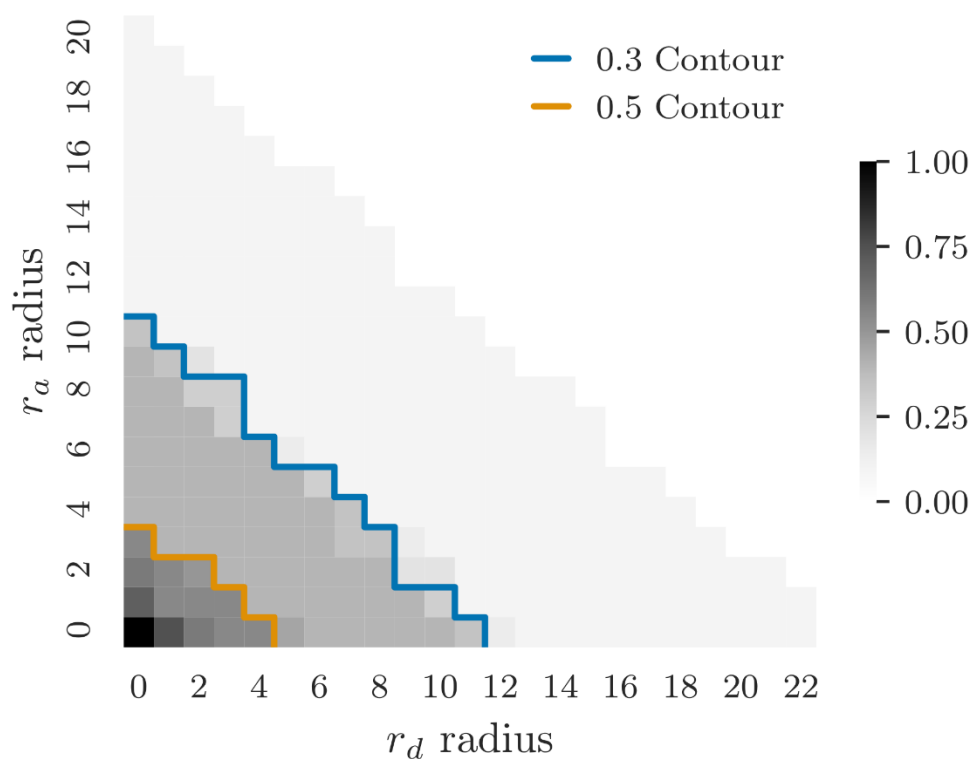


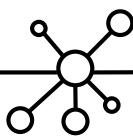
Average max r_d radius is 6.47 with **sparse** smoothing and 1.75 without



Results on Graph Classification

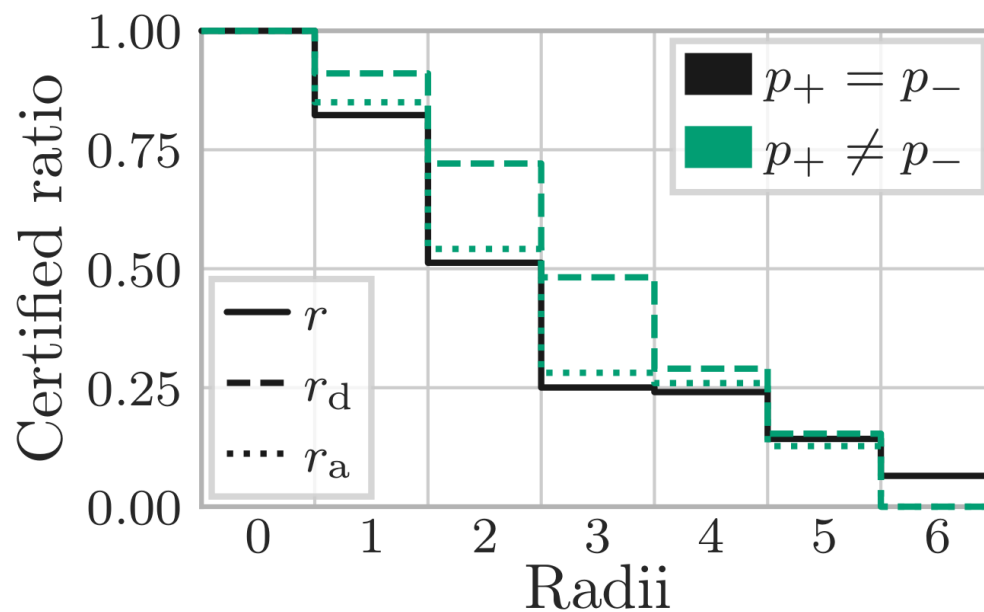
First certificate for the graph-level classification task





Results on MNIST

Sparsity-aware smoothing improves the certified ratio





Other results: ImageNet

Dramatically improved runtime for the exact same (tight) certificate

Certificate	Type	Time	$r = 1$	$r = 3$	$r = 5$	$r = 7$
Cohen et al. (2019)	Continuous	< 1 sec.	0.372	0.226	0.170	0.138
Dvijotham et al. (2020)	Discrete	< 1 sec.	0.362	0.224	0.136	0
Lee et al. (2019)	Discrete	4 days	0.538	0.338	0.244	0.176
Ours	Discrete	< 1 sec.	0.538	0.338	0.244	0.176

Model-agnostic, Tight, Efficient, & Sparsity-Aware Robustness Certificate

Code & Project Page: https://www.daml.in.tum.de/sparse_smoothing/

Twitter: @abojchevski